

洪泰证券有限公司（「洪泰证券」）

内地投资者*书面声明

Hong Tai Securities Limited (“HTSL”)

Written Declaration for Mainland Investors

1. 资金来源 Source of Funds

本人声明、保证及确认，用于支持本人于洪泰证券账户之投资活动及相关结算之所有资金，均为来自中国内地以外的合法来源。

I represent, warrant and confirm that all funds used to support my investment activities and related settlements in my HTSL account are from lawful sources outside of the Chinese Mainland.

2. 文件真实性及过往纪录 Document Authenticity and Past Record

本人声明、保证及确认，就开立 / 维持本账户而向洪泰证券提供之所有身份证明及相关证明文件及资料（包括任何副本或电子影像）均属真实、准确、完整及真确无讹，并没有伪造、涂改或被篡改；及本人没有任何因曾使用可疑或伪造文件而被任何持牌法团或注册机构关闭或暂停之账户。

I represent, warrant and confirm that all identity documents and related supporting documents and information (including any copies or electronic images) provided to HTSL for the opening/maintenance of this account are true, accurate, complete and genuine, and have not been forged, altered or tampered with; and that I do not have any account that was previously closed or suspended by any licensed corporation(s) or registered institution(s) due to the use of questionable or forged documents.

3. 更改资料通知承诺 Notification Commitment Regarding Changes to Information

本人承诺，如本声明所提供之资料有任何更改，本人将于7个工作日内通知洪泰证券。本人亦承诺，将按洪泰证券根据适用法律及监管规定，就核实及尽职审查目的所提出之要求，提供任何补充文件、纪录、解释或其他相关资料。

I undertake to notify HTSL within 7 business days if there are any changes to the information provided in this declaration. I further undertake to provide such supporting documents, records, explanations, or additional information as requested by HTSL for verification and due diligence purposes in accordance with applicable legal and regulatory requirements.

4. 向执法 / 监管机构披露资料 Disclosure of Information to Law Enforcement/Regulatory Authorities

本人确认明白，如执法机关或监管机构提出要求，洪泰证券可披露本人之个人资料及其他相关资料。 I confirm and understand that HTSL may disclose my personal data and other relevant information if requested by law enforcement or regulatory authorities.

5. 银行账户使用 Use of Bank Accounts

本人声明、保证及确认，本人将使用本人名义开立、并于香港持牌银行之银行账户作结算用途；并确认此后就本账户进行的所有存款及提款，将仅限通过上述银行账户办理。

I represent, warrant and confirm that I will use bank accounts in my own name with banks licensed in Hong Kong for settlement purposes; and that all future deposits and withdrawals for the account will be conducted exclusively through these bank accounts.

6. 遵守中国内地资本管制规定 Compliance with capital control regulations of the Chinese Mainland

本人确认、同意及知悉，如本人之资金来源其后被发现属不合法或违反中国内地任何资本管制法规，洪泰证券可关闭本人之账户。

I confirm, agree and acknowledge that HTSL may close my account if my funding sources are subsequently found to be unlawful or in violation of any capital control regulations of the Chinese Mainland.

本人已阅读、明白及同意以上声明书及条款。

I have read, understood and agreed to the above declaration and terms.

备注 Remarks

*“内地投资者”指根据持牌机构的纪录或在开立账户时，使用中华人民共和国签发的居民身份证及 / 或护照作为身份证明文件的个人投资者。

“Chinese Mainland investor” refers to an individual investor who uses either or both a resident identity card and a passport issued by the People’s Republic of China as an identification document according to licensed corporation’s records or when opening an account.

本声明书须由每位客户以个人名义填写及签署。如申请开立或持有联名账户，每位联名账户持有人均须以其个人名义各自填写及签署一份声明书。

This declaration form must be completed and signed by each client in their own name. If an application is made to open or hold a joint account, each joint account holder must separately complete and sign a declaration form in their own name.

重要提示：签署或提交本文件，即确认所提供的信息均真实、准确且完整。我们感谢您在确保所有内容准确无误方面的配合，因为根据香港法律，提供虚假或误导性信息属于严重的刑事犯罪，可能导致您的申请被取消，并面临严重的刑事处罚。

Important note: By signing or submitting this document, you confirm that all information provided is true, accurate and complete. We appreciate your cooperation in ensuring everything is correct, as providing false or misleading information is a serious criminal offence under Hong Kong law, and it could result in cancellation of your application, and severe criminal penalties.

客户签名 Client Signature	
姓名 Full Name	
开立账户身份证明文件号码 ID number used for account opening	
账户号码 Account number (如适用 / If applicable)	
日期 Date	